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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

|                      |                    |
|----------------------|--------------------|
| Application Number   | 09/399,065         |
| Filing Date          | September 18, 1999 |
| First Named Inventor | Jeremy A. Kenyon   |
| Group Art Unit       | 2772               |
| Examiner Name        | Najjar, S.         |

Total Number of Pages in This Submission

10

Attorney Docket Number 41018.P004

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**ENCLOSURES**

(check all that apply)

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☒ After Final (6 pages)
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/  
Incomplete Application
- ☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers  
(for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a  
Provisional Application
- ☐ Power of Attorney, Revocation  
Change of Correspondence  
Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication  
to Group
- ☐ Appeal Communication to Board  
of Appeals and Interferences
- ☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please  
identify below):

1. Return Receipt Postcard

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## Remarks

Reply under 37 C.F.R. § 1.116  
Expedited Procedure  
Group Art Unit 2154

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                               |                                                                     |
|-------------------------------|---------------------------------------------------------------------|
| Firm<br>or<br>Individual name | Aloysius T.C. AuYeung, Reg. No. 35,432<br>COLUMBIA IP LAW GROUP, PC |
| Signature                     |                                                                     |
| Date                          | November 28, 2001                                                   |

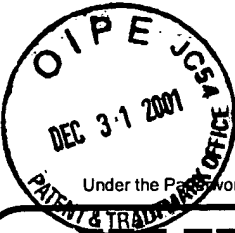
**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 11/28/2001

|                       |                    |
|-----------------------|--------------------|
| Typed or printed name | Michelle J. Turner |
| Signature             |                    |
| Date                  | 11/28/2001         |

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PTO/SB/17 (10-01)  
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## FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

### Complete if Known

|                      |                    |
|----------------------|--------------------|
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| Group Art Unit       | 2772               |
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### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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Deposit  
Account  
Name

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Columbia IP Law Group, PC

- ☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity<br>Fee Code | Fee (\$) | Small Entity<br>Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|--------------------------|----------|--------------------------|----------|------------------------|----------|
| 101                      | 740      | 201                      | 370      | Utility filing fee     |          |
| 106                      | 330      | 206                      | 165      | Design filing fee      |          |
| 107                      | 510      | 207                      | 255      | Plant filing fee       |          |
| 108                      | 740      | 208                      | 370      | Reissue filing fee     |          |
| 114                      | 760      | 214                      | 80       | Provisional filing fee |          |

SUBTOTAL (1) (\$ )

#### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 38                 | -38**= 0     | 9.00           | 0.00     |
| Independent Claims | 5            | -5**= 0        | 42.00    |
| Multiple Dependent |              |                |          |

| Large Entity<br>Fee Code | Fee (\$) | Small Entity<br>Fee Code | Fee (\$) | Fee Description                                            |
|--------------------------|----------|--------------------------|----------|------------------------------------------------------------|
| 103                      | 18       | 203                      | 9        | Claims in Excess of 20                                     |
| 102                      | 84       | 202                      | 42       | Independent claims in excess of 3                          |
| 104                      | 280      | 204                      | 140      | Multiple dependent claim, if not paid                      |
| 109                      | 84       | 209                      | 42       | ** Reissue independent claims over original patent         |
| 110                      | 18       | 210                      | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ ) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

| Fee Code | Large Entity<br>Fee (\$) | Fee Code | Small Entity<br>Fee (\$) | Fee Description                                                            | Fee Paid |
|----------|--------------------------|----------|--------------------------|----------------------------------------------------------------------------|----------|
| 105      | 130                      | 205      | 65                       | Surcharge - late filing fee or oath                                        |          |
| 127      | 50                       | 227      | 25                       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139      | 130                      | 139      | 130                      | Non-English specification                                                  |          |
| 147      | 2,520                    | 147      | 2,520                    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112      | 920*                     | 112      | 920*                     | Requesting publication of SIR prior to Examiner action                     |          |
| 113      | 1,840*                   | 113      | 1,840*                   | Requesting publication of SIR after Examiner action                        |          |
| 115      | 110                      | 215      | 55                       | Extension for reply within first month                                     |          |
| 116      | 400                      | 216      | 200                      | Extension for reply within second month                                    |          |
| 117      | 920                      | 217      | 460                      | Extension for reply within third month                                     |          |
| 118      | 1,440                    | 218      | 720                      | Extension for reply within fourth month                                    |          |
| 128      | 1,960                    | 228      | 980                      | Extension for reply within fifth month                                     |          |
| 119      | 320                      | 219      | 160                      | Notice of Appeal                                                           |          |
| 120      | 320                      | 220      | 160                      | Filing a brief in support of an appeal                                     |          |
| 121      | 280                      | 221      | 140                      | Request for oral hearing                                                   |          |
| 138      | 1,510                    | 138      | 1,510                    | Petition to institute a public use proceeding                              |          |
| 140      | 110                      | 240      | 55                       | Petition to revive - unavoidable                                           |          |
| 141      | 1,280                    | 241      | 640                      | Petition to revive - unintentional                                         |          |
| 142      | 1,280                    | 242      | 640                      | Utility issue fee (or reissue)                                             |          |
| 143      | 460                      | 243      | 230                      | Design issue fee                                                           |          |
| 144      | 620                      | 244      | 310                      | Plant issue fee                                                            |          |
| 122      | 130                      | 122      | 130                      | Petitions to the Commissioner                                              |          |
| 123      | 50                       | 123      | 50                       | Petitions related to provisional applications                              |          |
| 126      | 180                      | 126      | 180                      | Submission of Information Disclosure Stmt                                  |          |
| 581      | 40                       | 581      | 40                       | Recording each patent assignment per property (times number of properties) |          |
| 146      | 740                      | 246      | 370                      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149      | 740                      | 249      | 370                      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179      | 740                      | 279      | 370                      | Request for Continued Examination (RCE)                                    |          |
| 169      | 900                      | 169      | 900                      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ )

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### SUBMITTED BY

Complete (if applicable)

|                   |                       |                                   |                   |           |              |
|-------------------|-----------------------|-----------------------------------|-------------------|-----------|--------------|
| Name (Print/Type) | Aloysius T.C. AuYeung | Registration No. (Attorney/Agent) | 35,432            | Telephone | 503-595-2800 |
| Signature         |                       | Date                              | November 28, 2001 |           |              |

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